

Document Purpose

The purpose of this document is to provide you with supplemental pages if you need more space when completing SBA Form 413, which is the SBA Personal Financial Statement form required for SBA 7(a) loans, SBA 504 loans, and SBA Surety Bonds. Download the [SBA Form 413 PDF](#).

Supplemental tables are included for each of the following sections of SBA Form 413:

- **Section 2: Notes Payable to Banks and Others** (page 2)
- **Section 3: Stocks and Bonds** (page 3)
- **Section 4: Real Estate Owned** (pages 4 & 5) - *Enough pages are provided for you to provide information on six additional properties. If you need more space, change the header titles to reflect the appropriate sequence in the alphabet, (e.g., the tenth property you own would be "Property I").*

Once complete, print and sign each supplemental table you complete and attach it to SBA Form 413. Use as many supplemental pages as needed for each section, but make sure each of them includes the "as of date" of your SBA Form 413 personal financial statement, your name, the name of the business applicant, and your signature. Make sure both you and your spouse (as applicable) sign the supplemental pages.

Download the [SBA Form 413 PDF](#)



The image shows a thumbnail of the SBA Form 413 Personal Financial Statement form. At the top, it reads "PERSONAL FINANCIAL STATEMENT FOR SBA LOANS AND SURETY BONDS" and "OMB APPROVAL NO. 3245-0188 EXPIRATION DATE: 01/31/2019". Below this, there are instructions for completion and a section for personal information including Name, Business Phone, Home Address, Home Phone, City, State, & Zip Code, and Business Name of Applicant. The main body of the form is divided into two columns: ASSETS and LIABILITIES. The ASSETS column includes items like Cash on Hand in Banks, Savings Accounts, IRA or Other Retirement Account, Accounts & Notes Receivable, Life Insurance, Stocks and Bonds, Real Estate, Automobiles, and Other Personal Property. The LIABILITIES column includes Accounts Payable, Notes Payable to Banks and Others, Judgment Account - Auto, Tax Payments, Judgment Account - Other, Loans, Agency Life Insurance, Mortgage on Real Estate, Other Liabilities, Unpaid Taxes, and Total Liabilities. At the bottom, there is a section for "Description of Other Assets in Section 1" and "Contingent Liabilities".

Section 2: Notes Payables to Banks and Others

Supplemental attachment to [SBA Form 413](#).

As of Date:	<i>Add the "as of" date of your SBA personal financial statement here.</i>
Name of Personal Financial Statement Owner:	<i>Add the names listed on your SBA personal financial statement here.</i>
Name of Business Applicant:	<i>Add the name of the business applying for an SBA loan.</i>
Signature:	<i>Sign here before you attach this document to SBA Form 413</i>

Notes Payable to Banks and Others

Name and Address of Noteholder(s)	Original Balance	Current Balance	Payment Amount	Frequency (e.g., monthly)	How Secured or Endorsed Type of Collateral

Section 3: Stocks and Bonds

Supplemental attachment to [SBA Form 413](#).

As of Date:	<i>Add the "as of" date of your SBA personal financial statement here.</i>
Name of Personal Financial Statement Owner:	<i>Add the names listed on your SBA personal financial statement here.</i>
Name of Business Applicant:	<i>Add the name of the business applying for an SBA loan.</i>
Signature:	<i>Sign here before you attach this document to SBA Form 413</i>

Stocks and Bonds

Number of Shares	Name of Securities	Cost	Market Value Quotation / Exchange	Date of Quotation / Exchange	Total Value

Section 4: Real Estate Owned

Supplemental attachment to [SBA Form 413](#).

As of Date:	<i>Add the "as of" date of your SBA personal financial statement here.</i>
Name of Personal Financial Statement Owner:	<i>Add the names listed on your SBA personal financial statement here.</i>
Name of Business Applicant:	<i>Add the name of the business applying for an SBA loan.</i>
Signature:	<i>Sign here before you attach this document to SBA Form 413</i>

Real Estate Owned

	Property C	Property D	Property E
Type of Real Estate (e.g., Primary Residence, Other Residence, Rental Property, Land, etc.)			
Address			
Date Purchased			
Original Cost			
Present Market Value			
Name & Address of Mortgage Holder			
Mortgage Account Number			
Mortgage Balance			
Amount of Payment per Month / Year			
Status of Mortgage			

Section 5: Real Estate Owned

Supplemental attachment to [SBA Form 413](#).

As of Date:	<i>Add the "as of" date of your SBA personal financial statement here.</i>
Name of Personal Financial Statement Owner:	<i>Add the names listed on your SBA personal financial statement here.</i>
Name of Business Applicant:	<i>Add the name of the business applying for an SBA loan.</i>
Signature:	<i>Sign here before you attach this document to SBA Form 413</i>

Real Estate Owned

	Property F	Property G	Property H
Type of Real Estate (e.g., Primary Residence, Other Residence, Rental Property, Land, etc.)			
Address			
Date Purchased			
Original Cost			
Present Market Value			
Name & Address of Mortgage Holder			
Mortgage Account Number			
Mortgage Balance			
Amount of Payment per Month / Year			
Status of Mortgage			